

Docket No. 64688/152

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**GENE TRANSFER INTO RENAL GLOMERULAR CELLS**

the specification of which (check one)

is attached hereto

☒ was filed on 10/10/2001 as Application Serial No. 09/972,956 and was amended on \_\_\_\_\_ (if applicable).

This application takes priority under 35 USC 120 from US Serial No. **60/246,041**, filed **11/02/2000**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations 1.56.

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: **Dr. Melvin Blecher, Reg. No. 33,649.**

Send all correspondence to **4329 Van Ness St., NW, Washington, DC 20016-5625.** Address telephone communications to Dr. Melvin Blecher at Tel. (202)-363-3338; FAX (202) 362-8404.


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor  
**Xuehai Ye, PhD**

Residence Address

Signature of  
First or Sole  
Inventor

Date

  
*January 13, 2003*

**9623 Scotch Haven Drive**

Post Office Address  
**Vienna, VA 22161 (USA)**

Full Name of Second Inventor  
**Patricio E. Ray, MD**

Residence Address  
**8505 Fox Run**

Post Office Address  
**Potomac, MD 20854 (USA)**

Country of  
Citizenship  
**United States of America**

Signature of  
Second Inventor  
Date

Country of  
Citizenship  
**Argentina (perm. U.S.A. resident)**

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BLECHERHICKS

Docket No. 64688187

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**GENE TRANSFER INTO RENAL GLOMERULAR CELLS**

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I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby appoint as my attorneys, with full powers of substitution and re-creation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Dr. Melvin Blecher, Reg. No. 33,649.Send all correspondence to 4329 Van Ness St., NW, Washington, DC 20016-5625. Address telephone communications to Dr. Melvin Blecher at Tel (202) 362-1335, FAX (202) 362-8424.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

|  |   |      |
|--|---|------|
| Full Name of First or Sole Inventor<br><b>Xuehai Ye, PhD</b> | Signature of First or Sole Inventor                       | Date |
| Residence Address<br><b>9623 Scotch Haven Drive</b>          | Country of Citizenship<br><b>United States of America</b> |      |
| Post Office Address<br><b>Vienna, VA 22161 (USA)</b>         |   |      |

|  |   |                        |
|--|---|------------------------|
| Full Name of Second Inventor<br><b>Patricia E. Ray, MD</b> | Signature of Second Inventor                              | Date<br><b>1/22/02</b> |
| Residence Address<br><b>8505 Fox Run</b>                   | Country of Citizenship<br><b>United States of America</b> |                        |
| Post Office Address<br><b>Potomac, MD 20854 (USA)</b>      |   |                        |

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DIALOG(R)File 55:Biosis Previews(R)  
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12879399 BIOSIS NO.: 200100086548

Efficient gene transfer to rat renal glomeruli with recombinant adenoviral vectors.

AUTHOR: Ye Xuehai (a); Liu Xue-Hui; Li Zhuangwu; Ray Patricio E  
AUTHOR ADDRESS: (a)Children's National Medical Center, Children's Research  
Institute, 111 Michigan Avenue, N.W., Rm. R180, 3.5R, Washington, DC,  
20010: xye@cnmc.org\*\*USA

JOURNAL: Human Gene Therapy 12 (2):p141-148 January 20, 2001

MEDIUM: print

ISSN: 1043-0342

DOCUMENT TYPE: Article

RECORD TYPE: Abstract

LANGUAGE: English

SUMMARY LANGUAGE: English

ABSTRACT: Recombinant adenoviruses are attractive vectors for renal gene transfer since they can efficiently transduce nondividing cells. However, despite the fact that renal glomeruli are easily accessible via the renal circulation, attempts to deliver foreign genes specifically into renal glomeruli, using adenoviral vectors, have had limited success in rodents. A simple intraarterial injection of adenoviral vectors into the renal circulation or incubation of the virus with the kidney for an extended period of time was found to be insufficient for this purpose. In this study, we have established an efficient gene transfer protocol to express foreign genes in rat renal glomerular cells, using adenoviral vectors. We demonstrated, for the first time, that rat glomerular endothelial cells could be efficiently transduced by slowly infusing a recombinant adenovirus (Ad.CBlacZ) into the right renal artery for a period of 15 min. High levels of lacZ expression were achieved in renal glomeruli without causing significant damage to renal glomeruli or other kidney structures. The virus-mediated expression lasted for at least 21 days. These data demonstrate the feasibility of using recombinant adenoviral vectors as a tool with which to study the effect of foreign gene expression on the structure and function of rat renal glomeruli in vivo.

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DIALOG(R)File 55:Biosis Previews(R)  
(c) 2003 BIOSIS. All rts. reserv.

13084436 BIOSIS NO.: 200100291585

Efficient gene transfer to rat renal glomeruli with recombinant adenoviral vectors.

AUTHOR: Ye Xuehai (a); Liu Xue-Hui; Li Zhuangwu; Ray Patricio E  
AUTHOR ADDRESS: (a)Center for Genetic Medicine, Children's Research  
Institute, Children's National Medical Center, Washington, DC\*\*USA

JOURNAL: Pediatric Research 49 (4 Part 2):p421A April, 2001

MEDIUM: print

CONFERENCE/MEETING: Annual Meeting of the Pediatric Academic Societies  
Baltimore, Maryland, USA April 28-May 01, 2001

ISSN: 0031-3998

RECORD TYPE: Citation

LANGUAGE: English  
SUMMARY LANGUAGE: English  
?

Attorney Dkt. No. 64688/152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re; application of:

Xuehai Ye et al

Serial No. 09/972,956

Filed 10/10/2002

Priority date 11/06/2000

For: Gene Transfer Into Renal Glomerular Cells

GAU 1614

Examlner J. E. Angell

DECLARATION UNDER 37 CFR 1.132

Commissioner for Patents

Box Non-fee Amendment

Washington, DC 20231

Sir:

I, Mark L. Batshaw, MD, declare that:

I reside at 3315 Highland Place, Washington, DC.

I am the Chief Academic Officer and Director of the Children's Research  
Institute of the Childrens National Medical Center, Washington, DC.

I am also Professor and Chairman of the Department of Pediatrics of the  
George Washington University School of Medicine.

I am a pediatrician and researcher, with both activities being centered on  
gene-based cases of mental retardation and other developmental  
disabilities in children.

I have had a long collaboration with James Willson, MD, PhD, former Director  
of the Institute of Human Gene Therapy at the University of  
Pennsylvania Medical Center.

Under this collaboration, I have concentrated on developing methods to cure genetic errors in metabolism in children. Included in this research were attempts to develop animal models of human genetic diseases, in particular in attempting to cure ornithine transcarbamylase deficiency (OTCD) in the sparse fur mouse by administering to these mice a virus vector carrying the OTC gene. We developed a means of injecting the vector without having the body marshal its immune mechanism to destroy the virus. Substantial improvements in the medical condition of one of these animals were observed. These results have been described (Batshaw ML, Yudkoff, M, McLaughlin BA, Gorry E, Anegawa NJ, Smith, I, Hyman, SL, Robinson MB. The sparse fur mouse as a model for gene therapy in ornithine carbamoyltransferase deficiency. Gene Therapy 1995; 2:743-749; Ye X, Robinson MB, Batshaw ML, Furth EE, Smith I, Wilson JM. Prolonged metabolic correction in adult ornithine transcarbamylase-deficient mice with adenoviral vectors. J Biol Chem 1996; 271:3639-3646; Ye X, Robinson MB, Pabin C, Quinn T, Jawad A, Wilson JM, Batshaw ML. Adenovirus-mediated in vivo gene transfer rapidly protects ornithine transcarbamylase deficient mice from an ammonium challenge. Pediatric Res, 1997; 41:527-534.

From these experiences I and others in the field became convinced that a major research thrust should be to develop animal models of gene-linked diseases, and many such efforts became successful in the late 1990s and early 2000s.

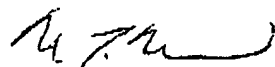
I am familiar with the details of the captioned patent application that describes a surgically-created animal model to test candidate gene vectors for their ability to be transferred into renal glomerular cells.

In my expert opinion, the invention described in Drs. Ye and Ray's patent application would have been recognized by one of average skills in this art to have specific, substantive and credible usefulness at the time (year 2000) their patent application was filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 1/15/03

**Respectfully submitted.**



**Mark L. Batshaw, MD**



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## Mark Levitt Batshaw, M.D.

|   |   |
|---|---|
| Home Address  | 3315 Highland Place, Northwest<br>Washington, D.C. 20008<br>(202)966-5934 Fax: (202)966-5935<br>Social Security No: 151-34-7708<br>DOB: September 19, 1945  |
| Education   | 1963-67 B.A. University of Pennsylvania (cum laude, Honors, Natural Science)<br>1967-71 M.D. University of Chicago, Pritzker School of Medicine   |
| Postgraduate Training<br>and Fellowship<br>Appointments | 1971-73 Residency in Pediatrics, Hospital for Sick Children, University of Toronto,<br>Canada<br>1973-75 Fellowship, Developmental Pediatrics, Kennedy Institute, Johns Hopkins<br>University School of Medicine, Baltimore, Md.  |
| Faculty Appointments                                    | 1975-76 Instructor, Department of Pediatrics, Johns Hopkins University School of<br>Medicine<br>1976-80 Assistant Professor, Dept. Pediatrics, Johns Hopkins University School of<br>Medicine<br>1980-88 Associate Professor, Dept. Pediatrics, Johns Hopkins University School of<br>Medicine<br>1988-90 Professor of Pediatrics, University of Pennsylvania School of Medicine<br>1989-98 Professor of Neurology, University of Pennsylvania School of Medicine<br>1990-98 W.T. Grant Professor of Pediatrics, University of Pennsylvania School of<br>Medicine<br>1995-98 Professor of Rehabilitation Medicine, University of Pennsylvania School of<br>Medicine<br>1998- Adjunct Professor of Pediatrics, University of Pennsylvania School of Medicine<br>1998- "Fight for Children" Chair of Academic Medicine, Professor and Chair,<br>Department of Pediatrics, The George Washington University School of<br>Medicine and Health Sciences<br>2001- Associate Dean for Academic Affairs, The George Washington University School<br>of Medicine and Health Sciences |
| Hospital and<br>Administrative<br>Appointments          | 1975-88 Developmental Pediatrician, Director of Metabolism Research, Kennedy<br>Institute, Baltimore<br>1988-98 Physician-in-Chief, Children's Seashore House, Philadelphia; Chief, Division<br>of Child Development and Rehabilitation Medicine, The Children's Hospital<br>of Philadelphia<br>1990-98 Founding Director, Mental Retardation Research Center, Children's<br>Seashore House, The Children's Hospital of Philadelphia, University of<br>Pennsylvania School of Medicine  |

Mark L. Batshaw, M.D. October 2002

|  |           |   |
|--|-----------|---|
|  | 1990-93   | Committee on Appointments and Promotions, University of Pennsylvania School of Medicine (Chairman, 1991-93)   |
|  | 1992-98   | Director, University Affiliated Program in Developmental Disabilities (Children's Seashore House and University of Pennsylvania School of Medicine) |
|  | 1996-99   | Co-Chair, Executive Committee, Institute for Human Gene Therapy, University of Pennsylvania School of Medicine                                      |
|  | 1996-99   | Member, Graduate Group in Cell and Molecular Biology, University of Pennsylvania School of Medicine   |
|  | 1998-     | Chief Academic Officer, Children's National Medical Center, Washington, DC  |
|  | 1998-     | Director, Children's Research Institute, Children's National Medical Center   |
|  | 2001-     | Founding Director, Mental Retardation and Developmental Disabilities Research Center, Children's National Medical Center                            |
| Specialty Certification                              | 1975      | Fellow Royal College of Physicians (Canada)- Pediatrics   |
|  | 1976      | American Board of Pediatrics  |
|  | 2001      | Neurodevelopmental Pediatrics (newly established board)   |
| Licensure  |           | Maryland and Washington, D.C.   |
| Awards, Honors and Memberships in Honorary Societies | 1980-     | Society for Pediatric Research  |
|  | 1982-     | Alexander Schaffer Award for Excellence in Clinical Teaching, Johns Hopkins University School of Medicine   |
|  | 1983-1986 | Joseph P. Kennedy, Jr. Scholar  |
|  | 1988-1998 | John Morgan Society, University of Pennsylvania; President 1993   |
|  | 1989-     | American Pediatric Society  |
|  | 1989-1995 | College of Physicians & Surgeons, Philadelphia  |
|  | 1989-     | American Pediatric Society  |
| Memberships in Professional and Scientific Societies |           | American Academy of Pediatrics  |
|  |           | Society for Inherited Metabolic Disorders, (President, 1995-1996)   |
|  |           | Society for Developmental Pediatrics, Board of Directors, 1992-2002   |
|  |           | Mental Retardation Research Centers, 1990- (President 1994-97)  |
|  |           | Society for Pediatric Research  |
|  |           | American Association of University Affiliated Programs  |
|  |           | American Association on Mental Retardation  |
|  |           | American Society of Gene Therapy  |
| NIH Study Section                                    | 1991-95   | Mental Retardation Research Committee, National Institute of Child Health and Human Development (NICHD)   |

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| Legislation                                  | 1994-1997: Annual report to Congress on the accomplishments of the Mental Retardation and Developmental Disabilities Research Centers<br>3/31/95: Testimony before the Subcommittee on Labor, Health and Human Services, Education and Related Agencies, Committee on Appropriations, United States Senate<br>3/6/02: Testimony before the Presidents Commission on Special Education, Denver, CO.   |
| Editorial Positions                          | 1994-2001: Founding Editor-in-Chief, Mental Retardation and Developmental Disabilities Research Review   |
| Consultant Position                          | 1992-1995: National Board of Medical Examiners, Consultant on accommodation for disabilities   |
| Academic Committees                          | Intern Selection Committee, Dept. Pediatrics, Johns Hopkins (1977-81)<br>Medical School Council (Faculty Senate), Johns Hopkins University School of Medicine-1982-86 (Chairman, 1985-86)<br>Joint Committee on Housestaff and Fellowship Training, Johns Hopkins (1983-86)<br>Ad hoc Committee on Fellowship Training, Dept. of Pediatrics, PENN, Chairman, (1989)<br>Executive Committee, Fellowship Training Program, Children's Hospital of Philadelphia (1990)<br>Medical School Advisory Committee, Children's Hospital of Philadelphia (1990-1998)<br>Search Committee for the Chief of the Division of Hematology, University of Pennsylvania School of Medicine - CHOP, Chairman (1990-91)<br>Division Chiefs' Research Committee, CHOP (1990-1998)<br>Search Committee for Chairman of Rehabilitation Medicine, PENN, Chairman (1995-96)<br>Faculty Grievance Commission, Hearings List (9/96-6/30/99) |
| Patent                                       | Brusilow S.W., Batshaw M.L. and Radin N.S.: Process for waste nitrogen removal. #4,284,647, 8/14/81  |
| Major Teaching and Clinical Responsibilities | <ul style="list-style-type: none"> <li>• Practice of Medicine (interviewing and physical diagnosis) Year 1 and 2.</li> <li>• Developmental Disabilities Clinic, 1 session/week</li> <li>• Metabolism clinic, 1 session/week</li> </ul>   |
| Trainee History                              | Dr. Batshaw has been a mentor to over 20 junior faculty and post-doctoral fellows. A table summarizing accomplishments of trainees is appended.  |
| External Grant Support                       | <u>ONGOING:</u><br><br>1P30HD40677-01 (P.I. Mark L. Batshaw) 8/1/01-7/31/06<br>NIH, NICHD \$572,934 (total direct costs)<br>Mental Retardation and Developmental Disability Research Center at Children's National Medical Center.<br><br>The main goal of this project is the operation of a center of excellence for research and training in the area of mental retardation and developmental disabilities in Washington, D.C.<br><br>1P30HD40677-01 (P.I. Mark L. Batshaw) 10/1/02-9/30/03   |

NIH, NICHD \$50,000  
MRDDRC at Children's National Medical Center: Administrative supplement for  
Center for Rare Diseases Planning Grant

1K12HD01399 (P.I. Mark L. Batshaw) 12/1/00-11/30/05  
NIH, NICHD \$400,000  
Child Health Research Career Development Award.

The major goal of this project is to support the career development of pediatricians  
beginning careers in basic/translational research relevant to child health.

1G20RR15248-01A2 (P.I. Mark L. Batshaw) 4/1/02-3/31/03  
NIH, NCRR \$581,751  
Developing and Improving Institutional Animal Resources.

The major goal of this project is to upgrade and renovate the research animal facility at  
CNMC.

009424 (Mark L. Batshaw) 7/1/01-6/30/03  
THE KETTERING FAMILY FNDN \$300,000  
Ornithine Transcarbamylase Deficiency Research.

The major goal of this project is to further our understanding of OTC deficiency and  
develop gene therapies to correct it.

#### COMPLETED:

1C06RR14515-01 (P.I. Mark L. Batshaw) 9/30/99-9/29/02  
NIH, DRR \$980,000  
Extramural Research Facilities Construction.

The major goal of this project is to complete the buildout of 11,268 square feet of space  
to house the Molecular Genetics Center for Pediatric Diseases.

O'MALLEY FOUNDATION (P.I. Mark L. Batshaw) 7/1/97-6/30/00  
Ornithine Transcarbamylase Deficiency. \$300,000

The major goal of this project was to explore novel approaches to gene therapy in  
children with inborn errors of metabolism.

5P01 HD32649-05 (P.I. Mark L. Batshaw) 12/15/94-11/30/00  
NIH, NICHD \$874,813  
Gene Therapy for Ornithine Transcarbamylase Deficiency.

The major goal of this project was to explore novel approaches to gene therapy in  
children with inborn errors of metabolism.

5P30HD026979  
NIH, NICHD (P.I. Mark L. Batshaw) 8/1/90-7/1/98  
Mental Retardation Research Center-Children's Hospital of Philadelphia

The goal of this center was to study causes and treatment of developmental disabilities

5R01NS028033  
NIH, NINDS (P.I. Mark L. Batshaw) 3/1/86-4/31/93  
Neurotransmitters, appetite and inborn errors of metabolism

The aim of this study was to understand the neurotransmitter abnormalities underlying neurologic abnormalities in inborn errors of urea synthesis using animal models and human studies

SP01HD010981

NIH, NICHD (P.I. Hugo Moser; Project director, Mark L. Batshaw) 1/1/78-12/31/86  
Genetic Causes of Mental Retardation  
Asymptomatic hyperammonemia-a cause of cortical dysfunction

The purpose of this study was to evaluate neuropsychological function and metabolic abnormalities in adult carriers of ornithine transcarbamylase deficiency.

1K07NS000342

NIH, NINDS (P.I. Mark L. Batshaw) 3/1/78-28/2/83  
Genetics and Metabolism of Urea Cycle Enzymopathies

The goal of this project was to a career development award to study the genetic basis of urea cycle disorders and the use of alternate pathway therapy for treatment.

March of Dimes (P.I. Mark L. Batshaw) 7/1/76/6/30/79  
Basil O'Connor Award- Urea Cycle Disorders

The goal of this award was to support a young investigator in studying novel approaches to treating this cause of birth defects using nitrogen free analogues of amino acids.

PENDING:

1T32HD043014 (P.I. Mark L. Batshaw) 5/1/03-4/30/08  
NIH, NICHD \$185,185  
NICHD Institutional Training for Pediatricians (NITP)

The major goal of this project is to help ensure that a diverse and highly trained workforce of pediatricians is available to assume leadership roles in the nations biomedical and behavioral research.

1 U54 MH066417-01A1 7/1/03-6/30/08  
NIH, NIMH 1 U54 MH066417-01A1 \$1,200,000/yr.  
Neurobiological origins and innovative treatment of autism (P.I. Rebecca Landa, Co-P.I. Mark L. Batshaw)

The major goal of this project is to support four projects to study the neurobiological origins of motor planning and communication impairments in autism.

P30 HD40677 (P.I. Mark L. Batshaw) 8/1/03-7/31/06 0%  
NIH, NICHD \$150,000  
Mass Spectrometry Core - Supplement to Mental Retardation and Developmental Disabilities Research Center

The major goal of this project is to support a MS core for proteomics and other state-of-the-art MS methods

#### Bibliography

#### Original Papers

1. Epstein AN, Blass EM, Batshaw ML and Parks AD: The vital role of saliva as a mechanical sealant for suckling in the rat. Physiology and Behavior 1970;

- 5:1395-1398.
2. Batshaw M, Brusilow S, and Walser M: Treatment of carbamyl phosphate synthetase deficiency with keto analogues of essential amino acids. *N Engl J Med* 1973; 292:1085-1089.
  3. Thomas G, Haslam R, Batshaw M, Capute A, Neidengard L, and Ransom L: Hyperpepicolic acidemia associated with hepatomegaly, mental retardation, optic nerve dysplasia and progressive neurological disease. *Clin Genetics* 1975; 8:376-382.
  4. Batshaw M, Brusilow S, and Walser M: Long-term management of a case of carbamyl phosphate synthetase deficiency using keto analogues and hydroxy-analogues of essential amino acids. *Pediatrics* 1976; 58:227-235.
  5. Batshaw M, Haslam RHA: A multidisciplinary approach for the management of dystonia musculorum deformans. *Adv Neurol* 14: 367-373, 1976.
  6. Theone JG, Batshaw M and Spector E, Kulovich S, Brusilow S, Walser M, Nyhan W: Neonatal citrullinemia: treatment with keto-analogues of essential amino acids. *J Pediatr* 1977; 90:218-224.
  7. Walser M, Batshaw M, Brusilow SW, Sherwood G and Robinson B: Nitrogen metabolism in neonatal citrullinemia. *Clin Sci Mol Med* 1977; 53:173-181.
  8. Walser M, Sapir DG, Mitch WE, Batshaw M, Brusilow SW and Maddrey WC. Evidence for an anabolic action of essential amino acid analogues in uremia and starvation. *Zeit. Ernahrungswiss Suppl.* 1976; 19:5-12.
  9. Batshaw ML and Brusilow SW: Asymptomatic hyperammonemia in low birthweight infants. *Pediatr Res* 1978; 12:221-224.
  10. Brusilow SW, and Batshaw ML: Arginine treatment of argininosuccinase deficiency. *Lancet* 1979; 1:134-135.
  11. Moser HW, Batshaw ML, Murray C, Braine H, Brusilow SW: Management of Heritable Disorders of the Urea Cycle and/or Refsum's and Fabry's Disease. *Prog Clin Biol Res.* 1979;3: 183-200.
  12. Brusilow SW, Batshaw ML and Walser M: Use of ketoacids in inborn errors of urea synthesis. In *Nutritional Management of Genetic Disorders*. *Curr Concepts Nutr.* 1979; 8: 65-78.
  13. Brusilow SW, Batshaw ML, and Valle D: New pathways of waste nitrogen excretion in inborn errors of urea synthesis. *Lancet* 1979; 2:452-454.
  14. Batshaw ML, Roan Y, Jung AL, Rosenberg LA, Brusilow SW: Cerebral dysfunction in asymptomatic carriers of ornithine transcarbamylase deficiency. *N Engl J Med* 1980; 302:482-485.
  15. Brusilow SW, Tinker J, Batshaw ML: Amino acid acylation: a mechanism of nitrogen excretion in inborn errors of urea synthesis. *Science* 1980; 207:659-661.
  16. Batshaw ML, Walser M, Brusilow SW: Plasma alpha-ketoglutarate in urea cycle enzymopathies and its role as a harbinger of hyperammonemic coma. *Pediatr Res* 1980; 14:1316-1319.
  17. Batshaw ML and Brusilow SW: Treatment of hyperammonemic coma in inborn errors of urea synthesis. *J Pediatr* 1980; 97:893-900.
  18. Batshaw ML, Thomas GH, Brusilow SW: New approaches to the diagnosis and treatment of inborn errors of urea synthesis. *Pediatrics* 1981; 68:290-297.
  19. Batshaw ML, Painter MJ, Sproul GT, Schafer LA, Thomas GH, Brusilow SW. Therapy of urea cycle enzymopathies: Three case reports. *Johns Hopkins Med J* 1981; 148:34-40.
  20. Batshaw ML, Bessman S, Valle D: Unsuccessful treatment of phenylketonuria with tyrosine. *J Pediatr* 1981; 99:159-160.
  21. Stewart PM, Batshaw M, Valle D, Walser M: Effects of arginine free meals on ureagenesis in cats. *Am J Physiol* 1981; 241:E310-315.
  22. Batshaw ML and Brusilow SW: Valproate induced hyperammonemia. *Ann Neurol* 1981; 11:319-321.
  23. Brusilow SW, Batshaw ML and Waber L: Neonatal Hyperammonemic Coma. *Adv Pediatr.* 29:69-103, 1982.

24. Batshaw ML, Brusilow SW, Waber L, Blom W, Brubakk AM, Burton BK, Cann HM, Kerr D, Mamunes P, Matalon R, Myerberg D, Schafer IA: Treatment of inborn errors of urea synthesis: activation of alternate pathways of waste nitrogen synthesis and excretion. *N Engl J Med* 1982; 306:1387-92.
25. Wachtel RC, Batshaw ML, Eldridge R, Jankel W and Cataldo M: Torsion Dystonia. *Johns Hopkins Med J* 1983; 151:355-361.
26. Batshaw ML, Wachtel RC, Brusilow SW, Starrett A, Thomas GH: Arginine responsive asymptomatic hyperammonemia in the premature infant. *J Pediatr* 1984; 103:86-91.
27. Qureshi IA, Letarte J, Ouellet R, Batshaw ML, Brusilow S: Treatment of hyperargininemia with sodium benzoate and arginine-restricted diet. *J Pediatr* 1984; 104:473-476.
28. Batshaw ML, Thomas GH, Cohen SR, Matalon R, Mahoney MJ: Treatment of the cblB form of methylmalonic acidemia with adenosylcobalamin. *J Inher Metab Dis* 1984; 7:65-68.
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8. Batshaw ML, Bachmann C, Tuchman M (eds). Proceedings of the satellite Urea Cycle Disorders meeting of the 7th Congress for Inborn Errors of Metabolism in Vienna, in May, 1997. Journal of Inherited Metabolic Disease, 1998, 21(supplement 1).
9. Batshaw ML (ed). When your child has a disability, revised edition. Paul H. Brookes Publishing Co., Baltimore, 2001, 467 pp.
10. Batshaw ML (ed). Children with disabilities (5<sup>th</sup> ed). Paul H. Brookes Publishing Co., Baltimore, 2002, 870 pp.

**Audiotape**

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## Trainee History

| Trainee                              | Highest Degree/<br>Date & Location<br>Where earned                         | Dates of<br>training | Example of Publication Resulting From<br>Mentorship  | Current Position   |
|--------------------------------------|--|----------------------|--|--|
| Bay, Carolyn                         | MD, Pediatrician<br>University of<br>Rochester School<br>of Medicine, 1985 | 89-92<br>postdoc     | Bay C, Mauk J, Radcliffe J, Kaplan P. Mild Brachmann-deLange syndrome: Delineation of the clinical phenotype and characteristic behaviors in a six year old by. Am J Med Genet 1993; 47:965-68.                        | Asst. Prof. Pediatrics,<br>University of Pittsburgh  |
| Blum, Nathan                         | MD, Pediatrician<br>Johns Hopkins<br>School of<br>Medicine, 1988           | 91-94<br>postdoc     | Blum NJ, Mercugliano M. Attention-Deficit/Hyperactivity Disorder. In Children with Disabilities, 4 <sup>th</sup> ed. Batshaw ML (ed). Baltimore. Paul H. Brookes, 1997. p449-470                                       | Asst. Prof. Pediatrics<br>Univ. of Pa. School of<br>Med.                                       |
| Mars, Audrey                         | MD<br>Pediatrician<br>Sackler School of<br>Medicine 1986                   | 93-96<br>postdoc     | Mars AE, Mauk JE, Dowrick PW. Symptoms of pervasive developmental disorders as observed in prediagnostic home videos of infants and toddlers. J Pediatr. 1998 Mar;132(3 Pt 1):500-4.                                   | Asst. Professor, Robert<br>Wood Johnson School of<br>Med.                                      |
| Meyer,<br>Gretchen                   | MD<br>Pediatrician<br>St. Louis<br>University 1988                         | 94-97<br>postdoc     | Meyer GA, Batshaw ML. Fragile X syndrome. In Batshaw ML (ed). Children with disabilities (5 <sup>th</sup> ed). Paul H. Brookes Publishing Co., Baltimore, 2002, in press.  | US Navy; Asst Professor<br>of Pediatrics—Eastern<br>Virginia School of<br>Medicine, Norfolk VA |
| Glanzman,<br>Marianne<br>Mercugliano | MD<br>Pediatrician<br>University of PA<br>School of Medicine<br>1983       | 88-90<br>postdoc     | Mercugliano, M., Hymen, S.L., Batshaw, M.L. Behavioral Deficits in Rats with Minimal Cortical Hypoplasia Induced by Methylazoxymethanol. Pediatrics 1990. 85:S432.   | Asst. Prof. Pediatrics,<br>Univ. of Pa School of<br>Medicine                                   |
| Parrish, Beth                        | MD<br>Pediatrician   | 90-93<br>postdoc     | Chen CY, Zimmerman RA, Faro S, Parrish B, Wang Z, Bilaniuk LT, Chou TY. MR of the cerebral operculum: abnormal opercular formation in infants and children. AJNR Am J Neuroradiol. 1996 Aug;17(7):1303-11.             | Asst. Prof. Pediatrics,<br>MCH, Hahnemann. Sch.<br>of Med.                                     |
| Wang, Paul                           | MD, PhD<br>Pediatrician<br>Yale University<br>1986                         | 95-96<br>postdoc     | Moss EM, Batshaw ML, Solot CB, Gerdes M, McDonald-McGinn DM, Driscoll DA, Emanuel BS, Zackai EH, Wang PP. Psychoeducational profile of the 22q11.2 microdeletion: A complex pattern. J Pediatr. 1999 Feb;134(2):193-8. | Asst. Professor<br>Pediatrics, U of<br>Pennsylvania School of<br>Medicine                      |
| Wray, John                           | MD<br>Pediatrician<br>University of<br>Western Australia,<br>1983          | 96-99<br>postdoc     | Wray JA, Yoon JH, Vollmer T, Mauk J. Pilot study of the behavioral effects of flumazenil in two children with autism. J Autism Dev Disord. 2000 Dec;30(6):619-20.  | Princess Margaret<br>Hospital, Perth,<br>Australia   |
| Robinson,<br>Michael                 | PhD<br>University of<br>Minnesota 1985                                     | 86-88<br>postdoc     | Robinson M.B., Hopkins K., Batshaw M.L., et al. Evidence of excitotoxicity in the brain of the ornithine carbamoyltransferase deficient sparse fur mouse. Dev. Brain Res. 90 (1995) 35-44.                             | Associate Professor of<br>Pediatrics and<br>Pharmacology,<br>University of<br>Pennsylvania     |

| Trainee               | Highest Degree/<br>Date & Location<br>Where earned      | Dates of<br>training | Example of Publication Resulting From<br>Mentorship  | Current Position                                    |
|-----------------------|---|----------------------|--|---|
| Anegawa, N.           | MD, Univ<br>California, San<br>Francisco, 1998          | 86-90<br>predoc      | Robinson MB, Heyes MP, <u>Anegawa NJ</u> , Gorry E, Djali S, Mellits ED, <u>Batshaw ML</u> . Quinolinate in brain and cerebrospinal fluid in rat models of congenital hyperammonemia. <i>Pediatr Res.</i> 1992 Oct;32(4):483-8.                              | Researcher, Neurology<br>UCSF                       |
| Gorry, Eileen         | BA<br>Yale University<br>1988                           | 89-90<br>predoc      | Robinson MB, Anegawa NJ, Gorry E, Qureshi IA, Coyle JT, Lucki I, Batshaw ML. Brain serotonin <sub>2</sub> and serotonin <sub>1A</sub> receptors are altered in the congenitally hyperammonemic sparse fur mouse. <i>J Neurochem.</i> 1992 Mar;58(3):1016-22. | Student, Columbia Univ.<br>School of Med.           |
| McLaughlin,<br>Beth   | BA<br>Skidmore College<br>1990                          | 90-92<br>predoc      | Batshaw ML, Yudkoff M, McLaughlin BA, Gorry E, Anegawa NJ, Smith IA, Hymann SL, Robinson MB. The sparse fur mouse as a model for gene therapy in ornithine carbamoyltransferase deficiency. <i>Gene Ther.</i> 1995 Dec;2(10):743-9.                          | Instructor, Univ. of<br>Pittsburgh,<br>Neurobiology |
| Pabin, Carol          | DVM, Cornell<br>Univ. expected<br>2003                  | 96-98<br>predoc      | Ye X, Robinson MB, Pabin C, Quinn T, Jawad A, Wilson JM, Batshaw ML. Adenovirus-mediated in vivo gene transfer rapidly protects ornithine transcarbamylase-deficient mice from an ammonium challenge. <i>Pediatr Res.</i> 1997 Apr;41(4 Pt 1):527-34.        | Student, Cornell Univ.<br>Veterinary School         |
| Ye, Xuchai            | PhD University of<br>Pennsylvania 1988                  | 95-98<br>postdoc     | Ye, X., M.B. Robinson, M.L. Batshaw, C. Pabin, T. Quinn, and J.M. Wilson. 'Adenovirus-mediated in vivo gene transfer rapidly protects ornithine transcarbamylase-deficient mice from an ammonium challenge' <i>Pediatric Research.</i> 41, 527-534, 1997.    | Asst. Prof. Peds GW                                 |
| Jerebtsova,<br>Marina | Ph.D., Petersburg<br>Nuclear Physics<br>Institute, 1994 | 99-present           | M.Jerebtsova, M.Batshaw, X.Ye. Humoral immune response to recombinant adenovirus and adeno-associated virus after in utero administration of viral vectors in mice. <i>Pediatr Res.</i> 2002 Jul;52(1):95-104.   | Trainee   |



Attorney Dkt. No. 61688/152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re; application of:

Xuchai Ye et al

Serial No. 09/972,956

Filed 10/10/2002

Priority date 11/08/2000

For: Gene Transfer Into Renal Glomerular Cells

GAU 1614

Examiner J. E. Angell

DECLARATION UNDER 37 CFR 1.132

Commissioner for Patents

Box Non-fee Amendment

Washington, DC 20231

Sir:

I, Kurt D. Newman, M.D., hereby declare that"

I reside at

I have been a surgeon for about 25 years, and presently hold the positions of Professor of Surgery/Pediatrics, Vice Chair of the Department of Surgery, and Medical Director of Clinical Resource Management, all at the Children's National Medical Center, Washington, DC, 20010.

I am familiar with the details of the animal model invented by the captioned inventors for the transfer of virus vector-gene constructs into renal glomerular cells.

In my opinion, the inventive renal infusion procedure over 15-120 minutes is feasible for animal model and human applications, and that it is feasible to cannulate the renal vein during the perfusion so that the viral vector not taken up by the renal glomerular cells will not be distributed elsewhere in the body.

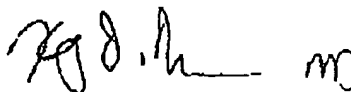
It is also my opinion that, at the time of the invention (year 2000) those of average skills in this field would have considered the inventive animal model construct to be specific, substantial and credible as to utility.

6. I hereby also declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the

knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Date: January 17, 2003

A handwritten signature in black ink, appearing to read "K.D. Newman", followed by a small, stylized mark that looks like a lowercase "m".

Kurt D. Newman, M.D.